



06-09-06

CFC

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/661,891

7035481

Filing Date

Sept. 15, 2003

First Named Inventor

Brent Everett Little

Art Unit

2882

Examiner Name

Kaven Cyrus Kianni

Attorney Docket Number

5671.094

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional ApplicationPower of Attorney, Revocation of POA,
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):Certificate
JUN 14 2006

of Correction

Remarks

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Credit Card Payment Form (1 page);
4. Request For Certificate of Correction of Patent (3 pages);
5. Certificate of Correction (2 pages) in duplicate;
6. Postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

DUNLAP, CODDING & ROGERS, P.C.

Signature

Marc Brockhaus

Printed name

Marc A. Brockhaus

Date

June 8, 2006

Reg. No.

40,923

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL EV318426052US, DATED 06/08/06***

Signature

Marc Brockhaus

Typed or printed name

Marc A. Brockhaus

Date

June 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 2006



Under the Paperwork Reduction Project of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100

Complete if Known

Application Number	10/661,891
Filing Date	Sept. 15, 2003
First Named Inventor	Brent Everett Little
Examiner Name	Kaven Cyrus Kianni
Art Unit	2882
Attorney Docket No.	5671.094

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
- 20 or HP = _____ x _____ = 0		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)
- 3 or HP = _____ x _____ = 0		
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets _____ Extra Sheets _____ Number of each additional 50 or fraction thereof _____ Fee (\$)
_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = 0 Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)
Other (e.g., late filing surcharge): _____ Certificate of Correction - Code 1811 _____ 100

SUBMITTED BY

Signature	Marc Brockhaus	Registration No. (Attorney/Agent)	40,923	Telephone	(405) 607-8600
Name (Print/Type)	Marc A. Brockhaus	Date	June 8, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 2006



EXPRESS MAIL NO. 318426052 US
DATE DEPOSITED: June 8, 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: US 7,035,491 B2

Issued: April 25, 2006

Name of Patentee: Brent Everett Little

Title of Invention: INTEGRATED OPTICS POLARIZATION BEAM SPLITTER USING
FORM BIREFRINGENCE

Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450
Attention: Decision and Certificate of Correction
Branch of the Patent Issue Division

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR PTO AND/OR APPLICANT'S MISTAKES (37 CFR 1.322(a) and 1.323)**

Enclosed are:

- [X] 2 sheets of Form PTO-1050 (Amended), in duplicate, with at least one copy being suitable for printing.
- [X] Pre-addressed Postal Card

PTO ERRORS

The exact page and line number in the application file are:

Column 3, line 12: Delete "Zehbder" and replace with --Zehnder--.
(See Application filed 09/15/03, pg. 3, line 27).

Column 5, line 6: After the word "invention" delete the "comma" and replace with a
--period-- (See Application filed 09/15/03, pg. 6, line 18).

06/12/2006 10:00 AM

Column 5, line 30: After the word "nitride" delete "(SN)" and replace with --(SiN)--.
(See Application filed 09/15/03, pg. 7, line 2).

☐ No fee is submitted herewith.

APPLICANT'S ERRORS

It is noted that errors appear in this patent of a clerical or typographical nature or a minor character as more fully described below. These errors occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination and a certificate of correction is requested.

The exact page and line number where the mistakes occur in the application are:

Column 4, line 22: After the word "lower" delete the word "chadding" and replace with the word --cladding--.
(See Application filed 09/15/03, pg. 5, line 16).

Column 4, line 23: After the word "upper" delete the word "chadding" and replace with the word --cladding--.
(See Application filed 09/15/03, pg. 5, line 16).

Column 4, line 64: Delete the word "birefrigent" and replace with the word --birefringent--.
(See Application filed 09/15/03, pg. 6, line 13).

Column 4, line 66: Delete the word "birefrigent" and replace with the word --birefringent--.
(See Application filed 09/15/03, pg. 6, line 14).

Column 4, line 67: After the word "with" delete the word "then" and replace with --thin--.
(See Application filed 09/15/03, pg. 6, line 14).

Column 5, line 1: Delete the word "biregrigent" and replace with the word --birefringent--.
(See Application filed 09/15/03, pg. 6, line 15).

Column 5, line 2: Delete the word "borefrigent" and replace with the word --birefringent--.
(See Application filed 09/15/03, pg. 6, line 15).

Column 5, line 3: Delete the word "birefrigent" and replace with the word --birefringent--.
(See Application filed 09/15/03, pg. 5, line 16).

Column 7, line 11: After the word "form" delete the word "birefringence" and replace with the word --birefringence--.

Column 8, line 9: After the word "said" add the word --first--.

[X] Charge to credit card as shown on the attached credit card information authorization form PTO-2038, in the amount of \$100.00 for this Certificate of Correction. One copy of this sheet is attached.

RETURN OF CERTIFICATE

Please send the Certificate to the undersigned.

Marc Brockhaus

Marc A. Brockhaus, Reg. No. 40,923
DUNLAP, CODDING & ROGERS, P.C.
P.O. Box 16370, Customer No. 30589
Oklahoma City, Oklahoma 73113
Telephone: 405/607-8600
Facsimile: 405/607-8686

Attorney for Applicant

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO : 7,035,491 B2

DATED : 04/25/2006

INVENTOR(S) : Brent Everett Little

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 3, line 12: Delete "Zehbder" and replace with —Zehnder—.

Column 4, line 22: After the word "lower" delete the word "chadding" and replace with the word —cladding—.

Column 4, line 23: After the word "upper" delete the word "chadding" and replace with the word —cladding—.

Column 4, line 64: Delete the word "birefrigent" and replace with the word —birefringent—.

Column 4, line 66: Delete the word "birefrigent" and replace with the word —birefringent—.

Column 4, line 67: After the word "with" delete the word "then" and replace with —thin—.

Column 5, line 1: Delete the word "biregrigent" and replace with the word —birefringent—.

Column 5, line 2: Delete the word "borefrigent" and replace with the word —birefringent—.

Column 5, line 3: Delete the word "birefrigent" and replace with the word —birefringent—.

MAILING ADDRESS OF SENDER: Dunlap, Coddling & Rogers, P.C.
P. O. Box 16370
Oklahoma City, Oklahoma 73113

PATENT NO. 7,035,491 B2

No. of additional copies

SEND TO: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

2006 1 18 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO : 7,035,491 B2

DATED : 04/25/2006

INVENTOR(S) : Brent Everett Little

Page 2 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 5, line 6: After the word "invention" delete the "comma" and replace with a —period—.

Column 5, line 30: After the word "nitride" delete "(SN)" and replace with —(SiN)—.

Column 7, line 11: After the word "form" delete the word "birefrigence" and replace with the word —birefringence—.

Column 8, line 9: After the word "said" add the word —first—.

MAILING ADDRESS OF SENDER: Dunlap, Coddling & Rogers, P.C.
P. O. Box 16370
Oklahoma City, Oklahoma 73113

PATENT NO. 7,035,491 B2

No. of additional copies

2006

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO : 7,035,491 B2

DATED : 04/25/2006

INVENTOR(S) : Brent Everett Little

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 3, line 12: Delete "Zehbder" and replace with —Zehnder—.

Column 4, line 22: After the word "lower" delete the word "chadding" and replace with the word —cladding—.

Column 4, line 23: After the word "upper" delete the word "chadding" and replace with the word —cladding—.

Column 4, line 64: Delete the word "birefrigent" and replace with the word —birefringent—.

Column 4, line 66: Delete the word "birefrigent" and replace with the word —birefringent—.

Column 4, line 67: After the word "with" delete the word "then" and replace with —thin—.

Column 5, line 1: Delete the word "biregrigent" and replace with the word —birefringent—.

Column 5, line 2: Delete the word "borefrigent" and replace with the word —birefringent—.

Column 5, line 3: Delete the word "birefrigent" and replace with the word —birefringent—.

MAILING ADDRESS OF SENDER: Dunlap, Coddling & Rogers, P.C.
P. O. Box 16370
Oklahoma City, Oklahoma 73113

PATENT NO. 7,035,491 B2

No. of additional copies

SEND TO: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

10

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO : 7,035,491 B2

DATED : 04/25/2006

INVENTOR(S) : Brent Everett Little

Page 2 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 5, line 6: After the word "invention" delete the "comma" and replace with a —period—.

Column 5, line 30: After the word "nitride" delete "(SN)" and replace with —(SiN)—.

Column 7, line 11: After the word "form" delete the word "birefrigence" and replace with the word —birefringence—.

Column 8, line 9: After the word "said" add the word —first—.

MAILING ADDRESS OF SENDER: Dunlap, Coddling & Rogers, P.C.
P. O. Box 16370
Oklahoma City, Oklahoma 73113

PATENT NO. 7,035,491 B2

No. of additional copies